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• a history is <i>linearizable</i> if
 each operation appears as if it has been executed in stantaneously at some point of the time line betwee its start event and its end event
 no two operations appear at the same point of th time line
 the corresponding sequence belongs to the specification of the objects
- Herlihy M.P. and Wing J.M., Linearizability: a correctness condition for concurre objects. <i>ACM Toplas</i> , 12(3):463-492, 1990
 Similar to Linearizability without requiring agreemer with real time
Q.enq(a)
$Q.enq(b) \qquad \qquad Q.deq() \to b$
- Lamport L., How to make a multiprocessor computer that correctly executes m
tiprocess programs. IEEE Transactions on Computers, C28(9):690-691, 1979
Seq consistency is more interesting in message-passing systems





Reminder	Part IV
From a computability point of view	
 Mutex can be implemented in crash-free systems from atomic read/write registers 	
 b-valued atomic read/write registers can be built from safe bits 	Mutex-free Implementations
• Mutex can be implemented directly from safe registers	
Lamport L., A new solution of Dijkstra's concurrent programming problem. <i>Com-</i> nunications of the ACM, 17(8):453-455, 1974	
Aravind A.A., Yet another simple solution to the concurrent programming control roblem. <i>IEEE Trans. on Parallel and Distributed Systems</i> , 22(6):1056-1063, 2011	
TRISA An introduction to the implementation of concurrent objects 25	An introduction to the implementation of concurrent objects 26
Drawbacks of lock-based implementations	Drawback due lock granularity
 In a lock-based solution: one process at a time can access a given object Make the progress of processes depends the ones from the others 	Example of a double-ended queue
 access a given object Make the progress of processes depends the ones from the others * Deadlock-prone 	Operations accessing Operations accessing from the left side $Q[-8]$ $Q[-6]$ $Q[-4]$ $Q[-2]$ $Q[0]$ $Q[2]$ $Q[4]Q[5]$ $Q[7]$ from the right side
 access a given object Make the progress of processes depends the ones from the others * Deadlock-prone * Cannot cope with the net effect of 	Operations accessing from the left side $Q[-8]$ $Q[-6]$ $Q[-4]$ $Q[-2]$ $Q[0]$ $Q[2]$ $Q[4]Q[5]$ $Q[7]$ from the right side left_enq() $\perp_{\ell} \mid \perp_{\ell} \mid a \mid b \mid c \mid d \mid e \mid f \mid \perp_{r} \mid (\perp_{r} \mid \perp_{r} \mid \perp_{$
 access a given object Make the progress of processes depends the ones from the others * Deadlock-prone 	Operations accessing Operations accessing from the left side $Q[-6]$ $Q[-4]$ $Q[-2]$ $Q[0]$ $Q[2]$ $Q[4]Q[5]$ $Q[7]$ from the right side

Mutex-free implementation	Progress (liveness) conditions
Do not use lock (implicitly or explicitly) History \hat{H} O.op1() by p_1 O.op2(b) by p_2 O.op1() by p_3 linearization at the object level History at the implementation level R_1 R_3 R_2 R_3 R_2 R_3 R_1 R_2 R_1	 Obstruction-freedom (is wrt concurrency) Non-blocking (≃ deadlock-freedom) Wait-freedom (≃ starvation-freedom) ★ Finite wait-freedom ★ Bounded wait-freedom
No code is protected by a critical section (lock) - Lamport L., Concurrent Reading and Writing. <i>CACM</i> , 20(11):806-811, 1977 - Peterson G.L., Concurrent reading while writing. <i>ACM TOPLAS</i> , 5:46-55, 1983 - Herlihy M.P., Wait-free synchronization. <i>ACM TOPLAS</i> , 13(1):124-149, 1991	These progress conditions cope naturally with any asyn- chrony and crash pattern (while –lock-based– deadlock- freedom and starvation-freedom do not), i.e., they implic- itly consider $t = n - 1$ (wait-free model)
An introduction to the implementation of concurrent objects 29	An introduction to the implementation of concurrent objects 30
Liveness conditions: Summary	A simple theorem
Lock-based implementationMutex-free implementationObstruction-freedomObstruction-freedomDeadlock-freedomNon-blockingStarvation-freedomWait-freedom	 Context: * One-shot objects * Bounded nb of processes Theorem: Non-blocking = Wait-free

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Compare&Swap: the ABA problem	Solving the ABA problem
	Associate a new sequence number with every X.C&S
• Initially $X = a$	
• At time τ_1 : p_i reads a from X	$ullet$ X is now a pair $\langle a, sn angle$
• At time $\tau_2 > \tau_1$: p_j successfully executes X.C&S(a, b) (X = b)	• At time $ au_1$: p_i reads $\langle a, sn \rangle$ from X
• At time $\tau_3 > \tau_2$: p_j successfully executes X.C&S(b, a) (X = a)	• At time $\tau_2 > \tau_1$: p_j successfully executes X.C&S($\langle a, sn \rangle, \langle b, sn + 1 \rangle$)
• At time $\tau_4 > \tau_3$: p_i successfully executes X.C&S (a,b) and erroneously be-	• At time $\tau_3 > \tau_2$: p_k successfully executes X.C&S($\langle b, sn + 1 \rangle, \langle a, sn + 2 \rangle$)
lièves that X has not been modifiéd by another process in the interval $[\tau_1\tau_4]$	• At time $\tau_4 > \tau_3$: when p_i executes X.C&S($\langle a, sn \rangle, \langle c, sn + 1 \rangle$), the write into X fails and returns false to p_i
An introduction to the implementation of concurrent objects 45	An introduction to the implementation of concurrent objects 46
Non-blocking objects based on Compare&Swap	A wait-free stack (1)
Non-Blocking Queue Based on Read/Write Registers and Compare&Swap: Michael M.M. and Scott M.L. Simple, fast and practical blocking and pop-	 Based on Fetch&Add and Swap operations
- Michael M.M. and Scott M.L., Simple, fast and practical blocking and non- blocking concurrent queue algorithms. <i>Proc. 15th Int'l ACM Symposium on</i> <i>Principles of Distributed Computing (PODC'96)</i> , ACM Press, pp. 267-275,	• Uses:
¹⁹⁹⁶ This implementation was included in the standard Java	* $REG[0\infty)$: array of atomic registers which contains the elements of the stack.
Concurrency Package	REG [0] contains always the value \perp (used only to
 Non-Blocking Stack Based on Compare&Swap Registers 	simplify the description of the algorithm) * NEXT: atomic register containing the index of the
- Shafiei N., Non-blocking array-based algorithms for stacks and queues. <i>Proc.</i> 11th Int'l Conference on Distributed Computing and Networking (ICDCN'09), Springer Verlag, LNCS #5408, pp. 55-66, 2009	next entry where a value can be deposited, initialized to 1
Uniform presentation of the previous objects and other objects in <i>Concurrent Pro-</i> gramming: Algorithms, Principles and Foundations, Springer, 515 pages, 2013	- Afek Y., Gafni E. and Morisson A., Common2 extended to stacks and unbounded concurrency. <i>Distributed Computing</i> , 20(4):239-252, 2007

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A wait-free stack (2)	Part V
operation push(v) is $in \leftarrow NEXT$.fetch&add() - 1; $REG[in] \leftarrow v;$ return() end operation. operation Q.pop() is $last \leftarrow NEXT - 1;$ for x from last to 0 do $aux \leftarrow REG[x].swap(\perp);$ if $(aux \neq \bot)$ then $return(aux)$ end if end for, return(empty) end operation.	Hybrid Implementations The aim is here to design object implementations merging locks and mutex-freedom
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Types of hybrid implementations	Static hybrid set
 Static hybrid * Some operation implementations are wait-free, other are lock-based * Example: a concurrent set Dynamic hybrid (context sensitive) * Define a notion of favorable circumstances (wrt failures, concurrency, etc.) * And the implementation of the operations must not use locks in favorable circumstances 	 Operations ★ S.add(v) adds v to the set S and returns true if v was not in the set; Otherwise it returns false ★ S.remove(v) suppresses v from S and returns true if v was in the set; Otherwise it returns false ★ S.contain(v) returns true if v ∈ S and false otherwise Static hybridism ★ S.add() and S.remove(): lock-based but deadlock-free ★ S.contain():mutex-free and wait-free - Heller S., Herlihy M.P., Luchangco V., Moir M., Scherer W.III and Shavit N., A lazy concurrent list-based algorithm. Parallel Processing Letters, 17(4):411-424, 2007.





T I R I S A





A non-blocking abortable bounded stack (1)	A non-blocking abortable bounded stack (1)
 The stack is of size k Operation push(v) returns <i>full</i> if the stack is full, otherwise adds v to the top of the stack and returns <i>done</i> Operation pop() returns <i>empty</i> if the stack is empty, otherwise suppresses the value from the top of the stack and returns it 	In presence of concurrency • Operation invocations may return ⊥ (abortable object) • But at least one returns a non-⊥ value (non-blocking)
IRISA An introduction to the implementation of concurrent objects 69 Stack representation (1)	An introduction to the implementation of concurrent objects 70 Stack representation (2)
 An array STACK[0k] of atomic registers ∀x: 0 ≤ x ≤ k: STACK[x] has two fields * STACK[x].val contains a value * STACK[x].sn contains a seq number (used to prevent the ABA problem on this register) It counts the nb of successful writes on STACK[x] ∀x: 1 ≤ x ≤ k: STACK[x] initialized to ⟨⊥, 0⟩ STACK[0] always stores a dummy entry (init to ⟨⊥, -1⟩) 	 A register <i>TOP</i> that contains the index of the top of the stack plus the corresponding pair ⟨v, sn⟩ <i>TOP</i> initialized to ⟨0, ⊥, 0⟩ Both <i>STACK</i>[x] and <i>TOP</i> are modified with Compare&Swa

Principle: laziness + helping mechanism	Abortable push: weak_push()
 A push or pop operation updates <i>TOP</i>, and leaves to the next operation the corresponding update of the stack Hence it helps the previous (push or pop) operation by modifying the stack accordingly Shafiei N., Non-blocking Array-based Algorithms for Stacks and Queues. Proc. th Int'l Conference on Distributed Computing and Networking (ICDCN'09), Springer Verlag LNCS #5408, pp. 55-66, 2009 	operation weak_push(v): $(index, value, seqnb) \leftarrow TOP;$ help(index, value, seqnb); if $(index = k)$ then return(full) end if ; $sn_of_next \leftarrow STACK[index + 1].sn;$ $newtop \leftarrow \langle index + 1, v, sn_of_next + 1 \rangle;$ if $TOP.C\&S(\langle index, value, seqnb \rangle, newtop)$ then return(done) else return(\bot) end if .
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Abortable stack: help procedure	Abortable pop: weak_pop()
procedure help(<i>index</i> , <i>value</i> , <i>seqnb</i>): $stacktop \leftarrow STACK[index].val;$ $STACK[index].C\&S(\langle stacktop, seqnb - 1 \rangle, \langle value, seqnb \rangle).$	<pre>operation weak_pop(): (index, value, seqnb) ← TOP; help(index, value, seqnb); if (index = 0) then return(empty) end if; belowtop ← STACK[index - 1]; newtop ← (index - 1, belowtop.val, belowtop.sn + 1); if TOP.C&S((index, value, seqnb), newtop) then return(value) else return(⊥) end if.</pre>

From an abortable to a non-blocking stack	From Non-blocking abortable to Starvation-freedom (
<pre>operation non_blocking_push(v): repeat res ← weak_push(v) until res ≠ ⊥ end repeat; return(res). operation non_blocking_pop(): repeat res ← weak_pop() until res ≠ ⊥ end repeat; return(res).</pre>	 Object operations: denoted ABO.ab_oper(par) CONTENTION: atomic Boolean read/write register, initialized to <i>false</i>. Used to indicate that there is a process that has acquired the lock and is invoking ABO.ab_oper() LOCK: a starvation-free lock
From Non-blocking abortable to Starvation-freedom (2)	IRISA An introduction to the implementation of concurrent objects 78 Part VII 78
operation oper(par) is if (\neg CONTENTION) then $res \leftarrow ABO.ab_oper(par)$; if ($res \neq \bot$) then return(res) end if end if; LOCK.acquire_SF_lock(); CONTENTION \leftarrow true; repeat $res \leftarrow ABO.ab_oper(par)$ until $res \neq \bot$ end repeat; CONTENTION \leftarrow false; LOCK.release_SF_lock(); return(res) end operation.	Conclusion